

APPENDIX A: COMPARISON OF EXISTING SURVEY REGARDING ASVS

TABLE A.1: Comparison of existing survey regarding ASVs.

Surveys	Publish Year	Focus	P	N	G	C	R	N&C	Co	DL
Caccia [1]	2006	Recently developed autonomous surface crafts, the research, and legal issues.	✓	✓	✓	✓	✓	+	+	
Bertram [2]	2008	Several military and research ASV prototypes.	✓							
Manley [3]	2008	Several typical ASVs developed during 1993 and 2008.	✓							
Rynne <i>et al.</i> [4]	2009	Introducing the motivation, navigation, control, application, and policy of unmanned autonomous sailing craft.	✓	✓		✓	✓			
Yan <i>et al.</i> [5]	2010	The applications, developments, and challenges of Navy ASVs.	✓				+			
Ashrafiuon <i>et al.</i> [6]	2010	The development of set-point, trajectory tracking, and path following control algorithms and methodologies for autonomous underactuated marine vehicles.				✓				
Stelzer <i>et al.</i> [7]	2011	Architecture, developments, and competitions of robotic sailing boat.	✓			✓				
Campbell <i>et al.</i> [8]	2012	The ASVs' prototypes, subsystems, and NGC, especially how to comply with COLREGs guidelines.	✓	✓	✓	✓	✓	+	✓	+
Zheng <i>et al.</i> [9]	2013	The architecture, typical prototypes, and technologies for improving the intelligence of marine surface vehicle.	✓	✓	✓	✓			+	+
Othman [10]	2015	Several ASVs' prototypes.	✓							
Azzeri <i>et al.</i> [11]	2015	The review of research work on control system approaches of ASVs, especially the course keeping control.	+			✓				+
Manley [12]	2016	The reviews of sub-component technology and developments for unmanned maritime vehicles system over the past 20 years.	✓				+	+		
Liu <i>et al.</i> [13]	2016	A comprehensive survey about the existing ASV prototypes, and NGC methods, along with their applications, methodologies, and challenges.	✓	✓	✓	✓	✓	✓	✓	+
Schiaretti <i>et al.</i> [14]	2017	Definition and categorization of autonomy levels for autonomous surface vessel.							✓	
Schiaretti <i>et al.</i> [15]	2017	A comprehensive review of existing autonomous surface vessel prototypes.	✓							
Prasad <i>et al.</i> [16]	2017	A comprehensive overview of various approaches of video processing for object detection and tracking in the maritime environment.		✓						
Liu <i>et al.</i> [17]	2018	Techniques related to the operation of multi-vehicle systems in different environmental domains.		+	✓	✓		+	✓	+
Cappelle <i>et al.</i> [18]	2018	Technology developments related to autonomous shipping and their technology readiness level.		✓	✓	✓	+	✓		+
Ge <i>et al.</i> [19]	2018	Wireless communication techniques for ASVs.	+					✓		
Zereik <i>et al.</i> [20]	2018	The present status of marine robotics and their applications.	+					+	+	
Polvara <i>et al.</i> [21]	2018	Collision detection and path planning methods for ASVs.		✓	✓	✓	+	+		+
Moud <i>et al.</i> [22]	2018	Applications of unmanned surface, underwater and ground vehicles in construction.	+							
Zolich <i>et al.</i> [23]	2019	Communication and networking technologies that could help the integration of autonomous systems in maritime scenarios.	+				+	✓	+	
Ellefsen <i>et al.</i> [24]	2019	DL techniques based intelligent prognostics and health management system for auto-ships.								✓
Verfuss <i>et al.</i> [25]	2019	Recent trends of unmanned vehicles suitable for marine animal monitoring.	+							
Jorge <i>et al.</i> [26]	2019	Applications and roles of ASVs for Disaster Management.	+	+		+	+	+	+	+
Munim [27]	2019	Development, and benefits of Autonomous Ship from an economic, environmental and social perspective.	+							
Wang <i>et al.</i> [28]	2019	Development and application related to ASV in China.	✓	+	+	+		+		
Thompson <i>et al.</i> [29]	2019	Current advances in automated planning for autonomous marine vehicle fleets.	+					✓	✓	+
Silva <i>et al.</i> [30]	2019	Developments of rigid wing sailboats in terms of hardware and software.	✓	+		✓		✓		
Wang <i>et al.</i> [31]	2019	Motion control of MASS.	+	+		✓				+
Huang <i>et al.</i> [32]	2020	Collision prevention techniques based on motion and conflict detection, and conflict resolution both for manned and unmanned ships.	+	✓	+	✓	✓	+	+	+
Jing <i>et al.</i> [33]	2020	Path planning and navigation methods for autonomous vessels and sailboats.	+	+	✓		+			
Zhou <i>et al.</i> [34]	2020	The path planning of multi-modality constraint research including Route Planning, Trajectory Planning and Motion Planning.			✓		+			
Peng <i>et al.</i> [35]	2020	Recent advances and challenges in coordinated control of multiple ASVs.	+	+	+	✓		✓	✓	+
Chen <i>et al.</i> [36]	2020	A comprehensive overview on cooperative control methods for waterborne transport.				✓	✓	✓	✓	+
Zhang <i>et al.</i> [37]	2021	The major advancements in maritime collision avoidance navigation technologies applied in several different scenarios, from transportation to scientific research.	+	+	✓	+	✓	+		+
Karimi <i>et al.</i> [38]	2021	Recent developments on guidance and control methods for marine robotic vehicles.		+	✓	✓		+	+	✓
Vagale <i>et al.</i> [39]	2021	Path planning algorithms of autonomous surface vehicles and their classification.	+	+	✓	+	✓			
Thombre <i>et al.</i> [40]	2021	State-of-the-art in situational awareness for autonomous vessels.		✓			✓			✓
Gu <i>et al.</i> [41]	2022	Overview of recent advances in LOS guidance for path following.				✓				
Our Work	2022	A comprehensive survey about application of DL methods on NGC system of ASVs and maritime cooperative operations, as well as their challenges.	✓	✓	✓	✓	✓	✓	✓	✓

Notes: The symbol "✓" marks publications that discuss the topic in detail; "+" indicates corresponding scope is briefly mentioned instead of careful investigation. "P" is for prototypes or projects: including the physical ASV prototypes and their hardware, software and applications, or the projects that develop these prototypes; "G" is for Guidance; "N" is for Navigation; "C" is for Control; "R" is for rules or regulations, e.g., the effect to comply with COLREGs guidelines; "N&C" is for Networking and Communications; "Co" refers to cooperation between multi-vehicles; "DL" is for deep learning.

APPENDIX B: OVERVIEW OF COMMERCIAL AND RESEARCH ASV PROJECTS

TABLE B.1: Overview of Commercial and Research ASV projects I

Prototype Name	Navigation						SE/DE Algorithm	Guidance Path-plan	Control		COMM.	Purpose	REF.
	Sensors								Controller	Steering			
	G	I	Ra	So	Ca	Co							
ARTEMIS	✓					✓	DR		fuzzy controller	rudder	radio	data collection	[42]
ACES	✓			✓						rudder	radio	data collection	[43]
Kayak	✓					✓			PID	rudder	radio	fish tracking	[44]
Autocat	✓			✓						DT		survey platform	[45]
MESSIN	✓					✓	NOMOTO			rudder	UHF	measuring tasks	[46]
ASV ¹	✓	✓				✓				DT	BreezeNet acoustic	support UUV	[47]
SCOUT ¹	✓			✓	✓	✓			PID		WiFi,RF acoustic	test platform	[48]
Charlie	✓				✓	✓	KF	LOS	PID	DT	WLAN	data collection	[49]
OASIS	✓	✓	✓		✓	✓				rudder	WiFi,cellular radio,satellite	data collection	[50], [51]
ASV	✓				✓		EKF/RAA			DT		shoreline mapping	[52]
Springer	✓					✓			GA-MPC	DT		environment monitoring	[53]
Atlantis	✓		✓			✓	OKID		LQG	rudder		test platform	[54]
Delfim ¹	✓			✓			KF			DT	radio,acoustic	data collection	[55]
ROAZ ¹	✓	✓		✓	✓	✓	EKF/EBD	LOS	HA		radio,acoustic	environment monitoring	[56]
ROAZ II	✓	✓		✓	✓		KF/EBD		HA		WiFi	search and rescue	[57]
ROSS	✓	✓				✓	NOMOTO	LOS	PD	DT	RF	data collection	[58]
ASMV ^{1,2}	✓			✓	✓	✓			NN		WLAN,cellular	coastal observation	[59]
Swordfish	✓	✓		✓	✓	✓				DT	WiFi,FreeWave GSM,acoustic	survey and relay	[60]
Zarco	✓	✓				✓	EKF			DT	WiFi	data collection	[61]
Fast	✓					✓	FSM			rudder	WiFi,radio GSM,satellite	oceanographic missions	[62]
ALANIS	✓		✓			✓	KF	LOS	PD	rudder	radio,wireless	coastal monitoring	[63]
ASV	✓			✓	✓	✓	KF	PFA	Proportional	rudder and DT	WLAN	environment monitoring	[64]
USV	✓				✓					DT	WLAN	surveillance,sampling	[65]
Nereus	✓				✓	✓			PI	DT		coastal observation	[66]
Wave Glider	✓				✓	✓	DR				satellite,RF acoustic	environment monitoring	[67]
WASP	✓	✓				✓				rudder		oceanographic missions	[68]
ASV	✓	✓		✓		✓	EKF		PI	rudder	wireless	caging missions	[69]
SOTOB II	✓					✓			PID	rudder	satellite	monitoring of oil spills	[70]
ASC	✓								PI	DT	RF	environment monitoring	[71]
Squirtle	✓	✓		✓	✓		EKF	DWA		DT	WiFi,Xbee	environment monitoring	[72]
ASB	✓	✓		✓	✓		/CC	PFA	PD	rudder	radio,WiFi	long-term missions	[73]
WHOI	✓	✓							PID		radio,WiFi	data collection	[74]
HydroNet	✓			✓		✓	EKF		sliding mode	rudder	radio	environment monitoring	[75], [76]
SMIS USV ¹	✓		✓	✓						rudder	WiFi,satellite UHF,acoustic	communication relay	[77]
mini-ASV	✓	✓						LOS	PID	rudder	wireless	experimental use	[78]
BUSCAMOS ¹	✓		✓	✓	✓	✓		SODMN	neuro controller	rudder and DT	WiFi,radio satellite	monitoring of oil spills	[79]
USV	✓	✓					KF		PID	rudder		environment monitoring	[80]
ASV	✓	✓				✓				DT	RF	swarming application	[81]
USV ²	✓	✓	li	✓	✓		UKF		PID	rudder	radio	sampling and rescuing	[82], [83]
UACP	✓	✓						LOS	inner-outer loop		WiFi	search and rescue	[84]
PlaDyPos ¹	✓	✓		✓	✓						WiFi,acoustic	environment monitoring	[85]
JingHai-I	✓	✓	✓	✓	✓	✓		VGA	GPC-PID	rudder	RF,satellite microwave	test platform	[86]
USV	✓	✓						A*		rudder		research	[87]
Roboat	✓	✓	li				EKF		NMPC		RF,WiFi	transportation	[88]
USV	✓	✓								DT	WiFi	monitoring of oil spills	[89]
Jetskins ²	✓	✓	li	✓	✓		/ML	RTT	ardupilot	DT	WiFi	environment monitoring	[90]
USV	✓					✓	/YOLO3				GSM/GPRS XBee	environment monitoring	[91]
Roboat II	✓	✓	li		✓		NMHE	A*	NMPC	DT	WiFi	urban transportation	[92]
Catabot	✓	✓	li	✓	✓	✓				DT	WiFi,radio	environmental monitoring	[93]
ASV	✓	✓	li	✓	✓	✓	EKF	LOS	PD	DT	WiFi	test platform	[94]
VIAM-USV2000	✓		li					GA,LOS	PD	DT	WiFi,radio	environmental monitoring	[95]

Notes: 1.Cooperation with AUV 2.Cooperation with UAV

Navigation: G: GPS, I: INS, Ra: Radar(li: lidar), So: Sonar, Ca: Camera, Co: compass, SE: State Estimate, DE: Detection

Control: HA: Hybrid Automation, NMPC: Nonlinear Model Predictive Control, DT: Differential Thrust

Algorithm: DR: Dead-Reckoning, KF: Kalman Filter, EKF: Extended Kalman Filter, UKF: Unscented Kalman Filter, RAA: Radial Analysis Approach, OKID: Observer/Kalman System Identification, EBD: Edge and Blob Detection, FSM: Finite State Machines, CC: Colorimetric Criteria based Algorithm, ML: Machine Learning, LOS: Line-Of-Sight Algorithm, PFA: Potential Field Approach, DWA: Dynamic Window Approach, SODMN: Self Organization Direction Mapping Network, VGA: Visibility Graph Algorithm, GA: Genetic Algorithm, NMHE: Nonlinear Moving Horizon Estimation

TABLE B.2: Overview of Commercial and Research ASV projects II

Prototype		Institution		Dimension		Parameters				Components		Applications
Name	Year	Country	Corp.	L(m)	W(m)	Wt(kg)	Sp(m/s)	En	Type	Propulsion	Power	
ARTEMIS	1996	USA	MIT	1.4	0.4	29.5	1.2	4h	M	a thruster motor	batteries	
ACES	1997	USA	MIT	1.8	1.3	90.7	2.6	12-18h	C	a stepper motor	gasoline	[96]
Kayak	1998	USA	MIT	3	0.68	19.5	1.5	24h	M	a propeller	batteries	
Autocat	2000	USA	MIT	1.22	0.61	10	3.8	6h	C	two propellers	batteries	
MESSIN	2000	Germany	University of Rostock						C	propeller	batteries	
ASV	2003	USA	FAU				2.6	24h	C	two trolling motors	batteries	
SCOUT	2005	USA	MIT			81.6	2.6		M	a trolling motor	batteries	[97]
Charlie	2005	Italy	CNR-ISSIA	2.4	1.8	300			C	two propellers	solar	[98]-[100]
OASIS	2006	USA	CIT	5.48	1.52	1360	1.3	3-6m	M	propeller	solar	[101]
ASV	2006	USA	Virginia Tech	2.7	1.5	125	1.6	3-4	C	two thrusters	gasoline	
Springer	2006	UK	University of Plymouth	4	2.3		2		C	two propellers	batteries	
Atlantis	2006	USA	UC	7.2	3				C	a sail	wind	
Delfim	2006	Portugal	ISR/IST	3.5	2	320	2.6		C	two propellers	batteries	
ROAZ	2006	Portugal	ISEP	1.5	1				C	two thrusters	solar	[102]
ROAZ II	2007	Portugal	ISEP	4.5	2.2	200			C	two trolling motors	batteries	[103]
ROSS	2007	India	NIO	1.84	0.36	95.5		7h	M	two propellers	batteries	
ASMV	2007	USA	FIT	2.13	0.91	176	1.2	7.5h	M	two propellers	batteries	
Swordfish	2007	Portugal	University of Porto	4.5	2.2	190	2	6h	C	two thrusters	batteries	[104]
Zarco	2007	Portugal	University of Porto	1.5		50	1.5	6-10h	C	two thrusters	batteries	
Fast	2008	Portugal	University of Porto	2.5	0.67				M	two sails	wind, solar	
ALANIS	2009	Italy	CNR-ISSIA	4.5	2.2	600		12h	M	two servo motors	batteries	
ASV	2009	Australia	CSIRO	4.88			2.8		C	two trolling motors	solar	[105]
USV	2009	China	SMU	2.7	1.48	60	3.1	2h	C	two propellers	batteries	
Nereus	2009	USA	FAU	1.7	0.21		2		C	four propellers	batteries	
Wave Glider	2009	USA	Liquid Robotics	2.1	0.6	75	0.8	1year	M	wave energy converter	wave energy solar	[106]-[108]
WASP	2009	USA	UMiami	4.2	0.8	50	2.6		M	a sail	wind, solar	
ASV	2010	USA	USC	2.1	0.7	48	1.5		C	two thrusters	batteries	[109], [110]
SOTOB II	2012	Japan	Osaka University	2.64	0.76	60	2	1week	M	a sail	wind, solar	[111], [112]
ASC	2013	Canada	MUN	1.5	1	146	1		C	two propellers	batteries	
Squirtle	2013	Portugal	University of Coimbra						C	two motors	solar	
ASB	2013	France	UPMC						M	a sail	wind, solar	
WHOI	2014	USA	WHOI	3.35		135	5.5	8-10h	M	waterjet	gasoline	
HydroNet	2014	Italy	SSSUP	1.99	1.16	82.8	1	10h	C	two propellers	batteries	
SMIS-USV	2015	Germany	University of Rostock					7days	M	propeller	batteries	
mini-USV	2016	China	HUST	1.33	0.33	5.1	3.1		M	propeller	batteries	
BUSCAMOS	2016	Spain	UPCT	5.1		1.97		6h	M	two propellers	solar, diesel	
USV	2016	Spain	UTB	1.3					M	two motors		
ASV	2016	Malaysia	USM	0.4	0.4	5.3	1	0.5h	M	two waterjets	batteries	
USV	2016	China	SIA CAS	2.8	0.7		10	2h	M	waterjet	batteries	
UCAP	2016	Portugal	INESC TEC	1.5		25	2	1h	M	waterjet	batteries	
PlaDyPos	2017	Croatia	University of Zagreb	1		30	1		M	four thrusters		
JingHai-I	2017	China	Shanghai University	6.28	2.86	2300	9.3	5h	M	waterjet	diesel	
USV	2017	China	BIT	1.9	0.4	34.3			C	a sail, two thrusters	solar, wind	
Roboat	2018	USA	MIT	0.9	0.45	9.2	1.1	2h	M	four thrusters	batteries	
USV	2019	Oman	SQU	0.9		15	1		C	two propellers	batteries	
Jetskins	2019	France	University of La Rochelle	1.8	0.66	28	1.5	10h	M	four motors	batteries	
USV	2019	Philippines	CARSU	1.5	1	40	0.5		C	propeller	batteries	
Roboat II	2020	USA	MIT	2.0	1.0	80	2.0	2h	M	four thrusters	batteries	
Catabot	2020	USA	Dartmouth College	2.4	1.4	25			C	two propellers	batteries	
ASV	2020	Korea	KRISO	4.1	2.4		3.3		C	two thrusters	batteries	
VIAM-USV2000	2021	Vietnam	HCMUT	2	1	150	2.1	3h	C	6 thrusters	batteries	

Note: 1. Because all the prototypes have power supplied by batteries, "batteries" here denotes batteries are the only power.

Dimension: L: Length, W: Width **Type:** M: Monohull, C: Catamaran **Parameter:** Sp: Speed, Wt: Weight, En: Endurance

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